Title

Neural precursors of deliberate and arbitrary decisions in the study of voluntary action

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Abstract

The readiness potential (RP)—a key ERP correlate of upcoming action—is known to precede subjects' reports of their decision to move. Some view this as evidence against a causal role for consciousness in human decision-making and thus against free-will. Yet those studies focused on arbitrary decisions—purposeless, unreasoned, and without consequences. It remains unknown to what degree the RP generalizes to deliberate, more ecological decisions. We directly compared deliberate and arbitrary decision-making during a $1000-donation task to nonprofit organizations. While we found the expected RPs for arbitrary decisions, they were strikingly absent for deliberate ones. Our results and drift-diffusion model are congruent with the RP representing accumulation of noisy, random fluctuations that drive arbitrary—but not deliberate—decisions. They further point to different neural mechanisms underlying deliberate and arbitrary decisions, challenging the generalizability of studies that argue for no causal role for consciousness in decision-making to real-life decisions.
Significance Statement

The extent of human free will has been debated for millennia. Previous studies demonstrated that neural precursors of action—especially the readiness potential—precede subjects’ reports of deciding to move. Some viewed this as evidence against free-will. However, these experiments focused on arbitrary decisions—e.g., randomly raising the left or right hand. We directly compared deliberate (actual $1000 donations to NPOs) and arbitrary decisions, and found readiness potentials before arbitrary decisions, but—critically—not before deliberate decisions. This supports the interpretation of readiness potentials as byproducts of accumulation of random fluctuations in arbitrary but not deliberate decisions and points to different neural mechanisms underlying deliberate and arbitrary choice. Hence, it challenges the generalizability of previous results from arbitrary to deliberate decisions.
MAIN TEXT

Introduction

Humans typically experience freely selecting between alternative courses of action, say, when ordering a particular item off a restaurant menu. Yet a series of human studies using electroencephalography (EEG) (Haggard & Eimer, 1999; Libet, Gleason, Wright, & Pearl, 1983; Salvaris & Haggard, 2014), fMRI (Bode & Haynes, 2009; Bode et al., 2011; Soon, Brass, Heinze, & Haynes, 2008; Soon, He, Bode, & Haynes, 2013), intracranial (Perez et al., 2015), and single-cell recordings (Fried, Mukamel, & Kreiman, 2011) challenged the validity of this common experience. These studies found neural correlates of decision processes hundreds of milliseconds and even seconds prior to the moment that subjects reported having consciously decided. The seminal research that launched this series of studies was conducted by Benjamin Libet and colleagues (Libet, Gleason, Wright, & Pearl, 1983). There, the authors showed that the readiness potential (RP)—a ramp-up in EEG negativity before movement onset, thought to originate from the presupplementary motor area (pre-SMA)—begins before subjects report a conscious decision to act. Some have claimed, following these and other findings, that the subjective human experience of freely deciding is but an illusion, because human actions are unconsciously initiated before the conscious decision to act (Harris, 2012; Libet et al., 1983; Wegner, 2002). This debate has been captivating scholars from many disciplines in and outside of academia (C. Frith, Blakemore, & Wolpert, 2000; C. D. Frith & Haggard, 2018; Haggard, 2008; Jeannerod, 2006; Lau, Rogers, Haggard, & Passingham, 2004; Mele, 2006; Wegner, 2002).

Critically, in the above studies, subjects were told to arbitrarily move their right hand or flex their right wrist; or they were instructed to arbitrarily move either the right or left hand (Haggard, 2008; Hallett, 2016; Roskies, 2010). Thus, their decisions were always unreasoned, purposeless, and bereft of any real consequence. This stands in sharp contrast to many real-life decisions that are deliberate—i.e., reasoned, purposeful, and bearing consequences (Ullmann-Margalit & Morgenbesser, 1977): which clothes to wear, what route to take to work, as well as more formative decisions about life partners, career choices, and so on.

Deliberate decisions have been widely studied in the field of neuroeconomics (Kable & Glimcher, 2009; Sanfey, Loewenstein, McClure, & Cohen, 2006) and in perceptual tasks (Gold & Shadlen, 2007). Yet, interestingly, little has been done in that field to assess the relation between decision-related activity, subjects’ conscious experience of deciding, and the neural activity instantaneously contributing to this experience. Though some studies compared, for example, internally driven and externally cued decisions (Thut et al., 2000; Wisniewski, Goschke, & Haynes, 2016), or stimulus-based and intention-based actions (Waszak et al., 2005), these were typically arbitrary decisions and actions with no real implications. Therefore, the results of these studies provide no direct evidence about potential differences between arbitrary and deliberate decisions.

Such direct comparisons are critical for the free will debate, because it is deliberate, rather than arbitrary, decisions that are at the center of philosophical arguments about free will and moral responsibility (Breitmeyer, 1985; Roskies, 2010). Deliberate decisions typically involve more conscious and lengthy deliberation and might thus be more tightly bound to conscious processes than arbitrary ones. Thus, one could speculate that different findings might be obtained when inspecting the RP in arbitrary compared to deliberate decisions.

A further reason to expect such differences stems from a recent computational model, which challenged the claim that the RP represents a genuine marker of unconscious decisions. Rather,
the model suggested that the RP might reflect the artificial accumulation, up to a threshold, of stochastic fluctuations in neural activity. In the model, crossing the threshold directly leads to action (Schurger, Sitt, & Dehaene, 2012). Such stochastic fluctuations are expected to be the sole driver of arbitrary decisions; while it is the values of the decision alternatives that drive deliberate decisions. Therefore, the model appears to predict no RP for deliberate decisions.

Demonstrating no, or considerably diminished, RP in deliberate decisions would challenge the interpretation of the RP as a general index of internal decision-making. More critically, it would question the generalizability of studies focused on arbitrary decisions to everyday, ecological, deliberate decisions.

Here, we tested this prediction and directly compared the neural precursors of deliberate and arbitrary decisions—and in particular the RP—on the same subjects, in an EEG experiment. Our experiment utilized a donation-preference paradigm, in which a pair of non-profit organizations (NPOs) were presented in each trial. In deliberate-decision trials, subjects chose to which NPO they would like to donate $1000. In arbitrary-decision trials, both NPOs received an equal donation of $500, irrespective of subjects’ key presses (Fig. 1). In both conditions, subjects were instructed to report their decisions as soon as they made them, and their hands were placed on the response keys, to make sure they could do so as quickly as possible. Notably, while the visual inputs and motor outputs were identical between deliberate and arbitrary decisions, the decisions’ meaning for the subjects was radically different: in deliberate blocks, the decisions were meaningful and consequential—reminiscent of important, real-life decisions—while in arbitrary blocks, the decisions were meaningless and bereft of consequences—mimicking previous studies of volition.

Results

Behavioral Results

Subjects’ reaction times (RTs) were analyzed using a 2-way ANOVA along decision type (arbitrary/deliberate) and difficulty (easy/hard). This was carried out on log-transformed data (raw RTs violated the normality assumption; W=0.94, p=0.001). As expected, subjects were substantially slower for deliberate (M=2.33, SD=0.51) than for arbitrary (M=0.99, SD=0.32) decisions (Fig. 2, left; F(1,17)=126.11, p<0.0001 for the main effect of decision type). A main effect of decision difficulty was also found F(1,17)=18.76, p=0.0004), with difficult decisions (M=1.77, SD=0.40) being slower than easy ones (M=1.56, SD=0.28). Importantly, subjects were slower for hard (M=2.52, SD=0.62) vs. easy (M=2.13, SD=0.44) decisions in the deliberate case (hard vs. easy deliberate decisions: t(17)=4.78, p=0.0002), yet not for the arbitrary case (M=1.00, SD=0.34; M=0.98, SD=0.32, for hard and easy arbitrary decisions, respectively; t(17)=1.01, p=0.33; F(1,17)=20.12, p=0.0003 for the interaction between decision type and decision difficulty). This validates our experimental manipulation and further demonstrates that, in deliberate decisions, subjects were making meaningful decisions, affected by the difference in the values of the two NPOs, while for arbitrary decisions they were not. What is more, the roughly equal RTs between easy and hard arbitrary decisions provide evidence inconsistent with concerns that subjects were deliberating during arbitrary decisions.
Figure 1: Experimental paradigm. The experiment included deliberate (red, left panel) and arbitrary (blue, right panel) blocks, each containing nine trials. In each trial, two causes—reflecting NPO names—were presented, and subjects were asked to either choose to which NPO they would like to donate (deliberate), or to simply press either right or left, as both NPOs would receive an equal donation (arbitrary). They were specifically instructed to respond as soon as they reached a decision, in both conditions. Within each block, some of the trials were easy (lighter colors) decisions, where the subject’s preferences for the two NPOs substantially differed (based on a previous rating session), and some were hard decisions (darker colors), where the preferences were more similar; easy and hard trials were randomly intermixed within each block. To make sure subjects were paying attention to the NPO names, even in arbitrary trials, and to better equate the cognitive load between deliberate and arbitrary trials, memory tests (in light grey) were randomly introduced. There, subjects were asked to determine which of four NPO names appeared in the immediately previous trial. For a full list of NPOs and causes see Supplementary Table 1.

The consistency between subjects’ choices throughout the main experiment and the NPO ratings they gave prior to the main experimental session was also analyzed using a 2-way ANOVA (see Methods). As expected, subjects were highly consistent with their own, previous ratings when making deliberate decisions (M=0.91, SD=0.04), but not when making arbitrary (M=0.52, SD=0.04) ones (Fig. 2, right; F(1,17)=946.55, p<0.0001) for the main effect of decision type. A main effect of decision difficulty was also found (F(1,17)=57.39, p<0.0001), with hard decisions evoking less consistent scores (M=0.66, SD=0.05) than easy ones (M=0.76, SD=0.03). Again, decision type and decision difficulty interacted (F(1,17)=25.96, p<0.0001): subjects were much more consistent with their choices in easy (M=0.99, SD=0.02) vs. hard (M=0.83, SD=0.64) deliberate decisions (t(17)=11.15, p<0.0001), than they were in easy (M=0.54, SD=0.07) vs. hard (M=0.49, SD=0.05) arbitrary decisions (t(17)=2.50, p=0.028). Nevertheless, though subjects were around chance (i.e., 0.5) in their consistency in arbitrary decisions (ranging between 0.39 and 0.64), it seems that some subjects were slightly influenced by their preferences in easy-arbitrary decisions trials, resulting in the significant difference between hard-arbitrary and easy-arbitrary decisions above. Finally, no differences were found between subjects’ tendency to press the right vs. left key in the different conditions (both main effects and interaction: F<1).
Figure 2: Behavioral results. Reaction Times (RTs; left) and Consistency Grades (CG; right) in arbitrary (blue) and deliberate (red) decisions. Each dot represents the average RT/CG for easy and hard decisions for an individual subject (hard decisions: x-coordinate; easy decisions: y-coordinate). Group means and SEs are represented by dark red and dark blue crosses. The red and blue histograms at the bottom-left corner of each plot sum the number of red and blue dots with respect to the solid diagonal line. The dashed diagonal line represents equal RT/CG for easy and hard decisions; data points below that diagonal indicate longer RTs or higher CGs for hard decisions. In both measures, arbitrary decisions are more centered around the diagonal than deliberate decisions, showing no or substantially reduced differences between easy and hard decisions.

EEG Results: Readiness Potential (RP)

The RP is generally held to index unconscious readiness for upcoming movement (Haggard, 2008; Kornhuber & Deecke, 1990; Libet et al., 1983; Shibasaki & Hallett, 2006); although more recently, alternative interpretations of the RP have been suggested (Miller, Shepherdson, & Trevena, 2011; Schmidt, Jo, Wittmann, & Hinterberger, 2016; Schurger et al., 2012; Trevena & Miller, 2010; Verleger, Haake, Baur, & Śmigasiewicz, 2016). It has nevertheless been the standard component studied in EEG versions of the Libet paradigm (Haggard, 2008; Haggard & Eimer, 1999; Hallett, 2007; Libet, 1985; Libet et al., 1983; Libet, Wright, & Gleason, 1982; Miller et al., 2011; Schurger et al., 2012; Shibasaki & Hallett, 2006; Trevena & Miller, 2010). As is common, we measured the RP over electrode Cz in the different conditions by averaging the activity across trials in the 2 s prior to subjects’ movement.

Focusing on the last 500 ms before movement onset for our statistical tests, we found a clear RP in arbitrary decisions, yet RP amplitude was not significantly different from 0 in deliberate decisions (Fig. 3A; F(1,17)=11.86, p=0.003, BF=309.21 for the main effect of decision type; in t-tests against 0, corrected for multiple comparisons, an effect was only found for arbitrary decisions (hard: t(17)=5.09, p=0.0001, BF=307.38; easy: t(17)=5.75, p<0.0001, BF=1015.84) and not for deliberate ones; the Bayes factor—while trending in the right direction—indicated inconclusive evidence (hard: t(17)=1.24, p>0.5, BF=0.47; easy: t(17)=1.84, p=0.34, BF=0.97). Our original baseline was stimulus locked (see Methods). And we hypothesized that the inconclusive Bayes factor for deliberate trials had to do with a constant, slow, negative drift.
that our model predicted for deliberate trials (see below) rather than reflecting a typical RP. As the RTs for deliberate trials were longer than for arbitrary ones, this trend might have become more pronounced for those trials. To test this, we switched the baseline period to -1000 ms to -500 ms relative to movement onset (i.e., a baseline that immediately preceded our time of interest window). Under this analysis, we found evidence that deliberate decisions (pooled across decision difficulty) are not different from 0 (BF=0.332), supporting the claim that the RP during the last 500 ms before response onset was completely absent (BF for similarly pooled arbitrary decisions was 5.07·10^4).

Figure 3: The readiness potentials for deliberate and arbitrary decisions. (A) Mean and SE of the readiness potential (RP) in deliberate (red shades) and arbitrary (blue shades) easy and hard decisions in electrode Cz, as well as scalp distributions. Zero refers to time of right/left movement, or response, made by the subject. Notably, the RP significantly differs from zero and displays a typical scalp distribution for arbitrary decisions only. Similarly, temporal clusters where activity was significantly different from 0 were found for arbitrary decisions only (horizontal blue lines above the x axis). Bar plot insets and scalp distributions depict the average activity between -0.5 and 0 s, across subjects. The inset shows the mean amplitude of the RP, with 95% confidence intervals, over the same time window. Response-locked potentials with an expanded timecourse, and stimulus-locked potentials are given in Fig. 6B and 6A, respectively. The same (response-locked) potentials as here, but with a movement-locked baseline of -1 to -0.5 s (same as in our Bayesian analysis), are given in Fig. 6C. (B) Individual subjects’ Cz activity in the four conditions (n=18). The linear-regression line for voltage against time over the last 1000 ms before response onset is designated by a dashed, black line. The lines have slopes significantly different from 0 for arbitrary decisions only. Note that the waveforms converge to an RP only in arbitrary decisions.

In an effort to further test for continuous time regions where the RP is different from 0 for deliberate and arbitrary trials, we ran a cluster-based nonparametric permutation analysis (Maris & Oostenveld, 2007) for all four conditions against 0. Using the default parameters (see Methods), we found a prolonged cluster (~1.2s) of activation that reliably differed from 0 in both arbitrary conditions (designated by horizontal blue-shaded lines above the x axis in Fig. 3A). The same analysis revealed no clusters of activity differing from zero in either of the deliberate conditions.
In a similar manner, regressing voltage against time for the last 1000 ms before response onset, the downward trend was significant for arbitrary decisions (Fig. 3B; p<0.0001, BF>10^25 for both easy and hard conditions) but not for deliberate decisions, with the Bayes factor indicating conclusive evidence for no effect (hard: p>0.5, BF=0.09; easy: p=0.35, BF=0.31; all Bonferroni corrected for multiple comparisons). Notably, this pattern of results was also manifested for single-subject analysis (Fig. 4; 14 of the 18 subjects had significant downward slopes for arbitrary decisions—i.e., p<0.05, Bonferroni corrected for multiple comparisons—when regressing voltage against time for every trial over the last 1000 ms before response onset; but only 5 of the 18 subjects had significant downward slopes for the same regression analysis for deliberate decisions; see Methods. In addition, the average slopes for deliberate and arbitrary decisions were -0.28±0.25 and -1.9±0.32 (mean±SE), respectively, a significant difference: t(17)=4.55, p<0.001, BF=380.02).

**Figure 4:** Individual-subjects RPs. Six examples of individual subjects’ RPs for deliberate decisions (in red) and arbitrary ones (in blue) pooled across decision difficulty.
Control analyses

We further tested whether differences in reaction time between the conditions, eye movements, filtering, and subjects’ consistency scores might explain our effect. We also tested whether the RPs might reflect some stimulus-locked potentials or be due to baseline considerations.

Differences in reaction times (RT) between conditions, including stimulus-locked potentials and baselines, do not drive the effect

RTs in deliberate decisions were typically more than twice as long as RTs in arbitrary decisions. We therefore wanted to rule out the possibility that the absence of RP in deliberate decisions stemmed from the difference in RTs between the conditions. We carried out six analyses for this purpose. First, we ran a median split analysis—dividing the subjects into two groups based on their RTs: lower (faster) and higher (slower) than the median, for deliberate and arbitrary trials, respectively. We then ran the same analysis using only the faster subjects in the deliberate condition (M=1.91 s, SD=0.25) and the slower subjects in the arbitrary condition (M=1.25 s, SD=0.23). If RT length affects RP amplitudes, we would expect the RP amplitudes to be more similar between these two groups. However, though there were only half the data points, a similar pattern of results to those over the whole dataset was observed (Fig. 5A; compare to Fig. 3A). Deliberate and arbitrary decisions were still reliably different (F(1,17)=5.22, p=0.03), with significant RPs found in arbitrary (easy: t(8)=4.57, p=0.0018; hard: t(8)=4.09, p=0.0035), but not deliberate (easy: t(8)=1.92, p=0.09; hard: t(8)=0.63, p=0.54) decisions. In addition, the RPs for arbitrary decisions were not significantly different between the subjects with above-median RTs and the entire population for the easy or hard conditions (easy: t(25)=0.14, p=0.5; hard: t(25)=0.56, p=0.5). Similarly, the RPs for deliberate decisions were not significantly different between the subjects with below-median RTs and the entire population for the easy or hard conditions (easy: t(25)=0.34, p>0.5; hard: t(25)=0.17, p=0.5). This suggests that RTs do not reliably affect C2 activation for deliberate or arbitrary decisions in our results.

Second, we regressed the difference between RPs in deliberate and arbitrary decisions (averaged over the last 500 ms before response onset) against the difference between the RTs in these two conditions for each subject (Fig. 5B). Again, if RT length affects RP amplitudes, we would expect differences between RTs in deliberate and arbitrary conditions to correlate with differences between RPs in the two conditions. But no correlation was found between the two measures (r=0.22, t(16)=0.86, p=0.4). We further tried regressing the RP differences on RT differences. The regression did not produce any reliable relation between RT and RP differences (regression line: y = 0.54 [CI -0.8, 1.89] x - 0.95 [CI -2.75, 0.85]; the R² was very low, at 0.05 (as expected from the r value above), and, as the confidence intervals suggest, the slope was not significantly different from 0, F(1,16)=0.74, p=0.4).

While the results of the above analyses suggested that our effects do not stem from differences between the RTs in deliberate and arbitrary decisions, the average RTs for fast deliberate subjects were still 660 ms slower than for slow arbitrary subjects. In addition, we had only half of the subjects in each condition due to the median split, raising the concern that some of our null results might have been underpowered. We also wanted to look at the effect of cross-trial variations within subjects and not just cross-subjects ones. We therefore ran a third, within-subjects analysis. We combined the two decision difficulties (easy and hard) for each decision type (arbitrary and deliberate) for greater statistical power. And then we took the faster (below-median RT) deliberate trials and slower (above-median RT) arbitrary trials for each subject separately. So, this time we had 17 subjects (again, one was removed) and better powered results. Here, fast deliberate arbitrary trials (M=1.63 s, SD=0.25) were just 230 ms slower than
slow arbitrary decisions (M=1.40 s, SD=0.45), on average. This cut the difference between fast
deliberate and slow arbitrary by about 2/3 from the between-subjects analysis. We then
computed the RPs for just these fast deliberate and slow arbitrary trials within each subject
(Fig. 5C). Visually, the pattern there is the same as the main analysis (Fig. 3A). What is more,
deliberate and arbitrary decisions remained reliably different (t(16)=3.36, p=0.004). Arbitrary
trials were again different from 0 (t(16)=4.40, p=0.0005), while deliberate trials were not
(t(16)=1.54, p=0.14).

Figure 5: Relations between RTs and RPs between subjects (A&B) and within subjects
(C&D). (A) The subjects with above-median RTs for arbitrary decisions (in blue) and below-
median RTs for deliberate decisions (in red), show the same activity pattern that was found in
the main analysis (compare Fig. 3A). (B) A regression of the difference between the RPs versus
the difference between the RTs for deliberate and arbitrary decisions for each subject. The
equation of the regression line (solid red) is \(y = 0.54 \pm [CI -0.8, 1.89] \times -0.95 \pm [CI -2.75, 0.85]
(confidence intervals: dashed red lines). The \(R^2\) is 0.05. One subject, #7, had an RT difference
between deliberate and arbitrary decisions that was more than 6 interquartile ranges (IQRs)
away from the median difference across all subjects. That same subject's RT difference was
also more than 5 IQRs higher than the 75th percentile across all subjects. That subject was
therefore designated an outlier and removed only from this regression analysis. (C) For each
subject separately, we computed the RP using only the faster (below-median RT) deliberate
trials and slower (above-median RT) arbitrary trials. The pattern is again the same as the one
found for the main analysis. (D) We computed the same regression between the RP differences
and the RT differences as in B, but this time the median split was within subjects. The equation
of the regression line is \(y = 1.27 \pm [CI -0.2, 2.73] \times -0.95 \pm [CI 0.14, 1.76]. The \(R^2\) is 0.18.
We further regressed the within-subject differences between RPs in fast deliberate and slow arbitrary decisions (defined as above) against the differences between the corresponding RTs for each subject to ascertain that such a correlation would not exist for trials that are closer together. We again found no reliable relation between the two differences (Fig. 5D; regression line: \( y = 1.27 \times [\text{CI } 0.14, 1.76] \times -0.95 \times [\text{CI } 0.14, 1.76] ; R^2=0.18 \).

Yet another concern that could relate to the RT differences among the conditions is that the RP in arbitrary blocks might actually be some potential evoked by the stimuli (i.e., the presentations of the two causes), specifically in arbitrary blocks, where the RTs are shorter (and thus stimuli-evoked effects could still affect the decision). In particular, a stimulus-evoked potential might just happen to bear some similarity to the RP when plotted locked to response onset. To test this explanation, we ran a fifth analysis, plotting the potentials in all conditions, locked to the onset of the stimulus (Fig. 6A). We also plotted the response-locked potentials across an expanded timecourse for comparison (Fig. 6B). If the RP-like shape we see in Figs. 3A and 6B is due to a stimulus-locked potential, we would expect to see the following before the 4 mean response onset times (indicated by vertical lines at 0.98 and 1.00, 2.13, and 2.52 s for arbitrary easy, arbitrary hard, deliberate easy, and deliberate hard, respectively) in the stimulus-locked plot (Fig. 6A): Consistent potentials, which precede the mean response times, that would further be of a similar shape and magnitude to the RPs found in the decision-locked analysis in the arbitrary condition (though potentially more smeared for stimulus locking). We thus calculated a stimulus-locked version of our ERPs, using the same baseline (Fig. 6A). As the comparison between Fig. 6A and 6B clearly shows, no such consistent potentials were found before the 4 response times, nor were these potentials similar to the RP in either shape or magnitude (their magnitudes are at the most around 1\( \mu \text{V} \), while the RP magnitudes we found are around 2.5 \( \mu \text{V} \); Figs. 3A, 6B). This analysis thus suggests that it is unlikely that a stimulus-locked potential drives the RP we found.

Notably, the stimulus-locked alignment did imply that the arbitrary easy condition evoked a stronger activity in roughly the last 0.5 s before stimulus onset. However, this prestimulus activity cannot explain the response-locked RP, as it was found only in arbitrary easy trials and not in arbitrary hard trials. At the same time, the response-locked RP did not differ between these conditions. What is more, easy and hard trials were randomly interspersed within deliberate and arbitrary blocks, and the subject discovered the trial difficulty only at stimulus onset. Thus, there could not have been differential preparatory activity that varies with decision difficulty. This divergence in one condition only is accordingly not likely to reflect any preparatory RP activity.

One more concern is that the differences in RTs may affect the results in the following manner: Because the main baseline period we used thus far was 1 to 0.5 s before stimulus onset, the duration from the baseline to the decision varied widely between the conditions. To make sure this difference in temporal distance between the baseline period and the response to which the ERPs were locked did not drive our results, we recalculated the potentials for all conditions with a response-locked baseline of -1 to -0.5 s (Fig. 6C; the same baseline we used for the Bayesian analysis above). The rationale behind this choice of baseline was to have the time that elapsed from baseline to response onset be the same across all conditions. As is evident in Fig. 6C, the results for this new baseline were very similar to those for the stimulus-locked baseline we used before. Focusing again on the -0.5 to 0 s range before response onset for our statistical tests, we found a clear RP in arbitrary decisions, yet RP amplitude was not significantly different from 0 in deliberate decisions (Fig. 6C; ANOVA F(1,17)=12.09, p=0.003 for the main effect of decision type; in t-tests against 0, corrected for multiple comparisons, an effect was only found for arbitrary decisions (hard: \( t(17)=4.13, p=0.0007 \); easy: \( t(17)=4.72, p=0.0002 \)) and not for deliberate ones (hard: \( t(17)=0.38, p>0.5 \); easy: \( t(17)=2.82, p>0.05 \)).
t(17)=1.13, p=0.27). This supports the notion that the choice of baseline does not strongly affect our main results. Taken together, the results of the six analyses above provide strong evidence against the claim that the differences in RPs stem from or are affected by the differences in RTs between the conditions.

Figure 6: Stimulus- and response-locked Cz-electrode ERPs with different baselines and overlaid events. (A) Stimulus-locked waveforms including the trial onset range, baseline period, and mean reaction times for all four experimental conditions. (B) Response-locked waveforms with mean stimulus onsets for all four conditions as well as the offset of the highlighting of the selected cause and the start of the next trial. (C) Same potentials and timeline as Fig. 3A, but with a response-locked baseline of -1 to -0.5 s—the same baseline used for our Bayesian analysis.

Eye movements do not affect the results

Though ICA was used to remove blink artifacts and saccades (see Methods), we wanted to make sure our results do not stem from differential eye movement patterns between the conditions. We therefore computed a saccade-count metric (SC; see Methods) for each trial for all subjects. Focusing again on the last 500 ms before response onset, we computed mean
though higher was still far below their consistency score for easy deliberate decisions (M=0.99, SD=0.02). Arguably, a higher pass filter might reduce the chances to find the RP, which is a low-frequency component. And this might have affected the deliberate decision more than the arbitrary one, given the slower RTs there. To examine this possible confound, we reanalyzed the data using a 0.01 high-pass filter. This reduced the number of usable trials for each subject, as it allowed lower-frequency trends to remain in the data. Given that our focus was on arbitrary vs. deliberate decisions (with decision difficulty serving mostly to validate the manipulation), we collapsed the trials across decision difficulty, and only tested RP amplitudes in arbitrary vs. deliberate decisions against each other and against zero. In line with our original results, a difference was found between RP amplitude in the two conditions.
EEG Results: Lateralized Readiness Potential (LRP)

The LRP, which reflects activation processes within the motor cortex for action preparation after action selection (Eimer, 1998; Masaki, Wild-wall, Sangals, & Sommer, 2004), was measured by subtracting the difference potentials (C3-C4) in right-hand response trials from this difference in left-hand responses trials and averaging the activity over the same time window (Eimer, 1998; Haggard & Eimer, 1999). In this purely motor component, no difference was found between the two decision types and conclusive evidence against an effect of decision type was further found (Fig. 7; all Fs<0.35; BF=0.299). Our analysis of EOG channels suggests that some of that LRP might be driven by eye movements (we repeated the LRP computation on the EOG channels instead of C3 and C4). However, the shape of the eye-movement-induced LRP is very different from the LRP we calculated from C3 and C4. Also, the differences that we found between conditions in the EOG LRP are not reflected in the C3/C4 LRP. So, while our LRP might be boosted by eye movements, it is not strictly driven by these eye movements.

Figure 7: Lateralized readiness potential. The lateralized readiness potential (LRP) for deliberate and arbitrary, easy and hard decisions. No difference was found between the conditions (ANOVA all Fs<1). Temporal clusters where the activity for each condition was independently found to be significantly different from 0 are designated by horizontal thick lines at the bottom of the figure (with their colors matching the legend).

Modeling

The main finding of this study—the absent (or at least strongly diminished) RP in deliberate decisions, suggesting different neural underpinnings of arbitrary and deliberate decisions—is in line with a recent study using a drift-diffusion model (DDM) to investigate the RP (Schurger et al., 2012). There, the RP was modeled as an accumulation of white noise up to a hard threshold. When activity crosses that threshold, it designates decision-onset leading to
movement. The model focuses on the activity leading up to the threshold crossing, when that activity is time-locked to the onset of the threshold crossing (corresponding to movement-locked epochs in EEG). Averaging across many threshold crossings, this white-noise activity accumulates, and it resembles an RP (Schurger et al., 2012). Hence, according to this model, the threshold crossing leading to response onset is largely determined by spontaneous, subthreshold, white-noise fluctuations of the neural activity. This interpretation of the RP challenges its traditional understanding as stemming from specific, unconscious preparation for, or ballistic-like initiation of, movement (Shibasaki & Hallett, 2006). Instead, Schurger and colleagues claimed, time-locking to response onset ensures that these spontaneous fluctuations appear, when averaged over many trials, as a ramp-up in neural activity resembling an RP.

We wanted to investigate whether our results could be accommodated within the general framework of the Schurger model. We wanted to test the possibility that deliberate and arbitrary decisions are mediated by two different mechanisms. The first mechanism is involved in value assessment and drives deliberate decisions. It may be subserved by brain regions like the Ventromedial Prefrontal Cortex; VMPFC, (Ramnani & Owen, 2004; Wallis, 2007). But, for the sake of the model, we will remain agnostic about the exact location associated with deliberate decisions and refer to this region as Region X. A second mechanism, possibly at the (pre-)SMA, was held to generate arbitrary decisions driven by random, noise fluctuations.

Accordingly, we expanded the model developed by Schurger et al. (2012) in two manners. First, we defined two DDM processes—one devoted to value-assessment (in Region X) and the other to noise-generation (in SMA; see Fig. 8A and Methods). Both of them were run during both decision types, yet the former determined the result of deliberate trials, and the latter determined the results of arbitrary trials. Second, Schurger and colleagues modeled only when subjects would move and not what (which hand) subjects would move. We wanted to account for the fact that, in our experiment, subjects not only decided when to move, but also what to move (either to indicate which NPO they prefer in the deliberate condition, or to generate a meaningless right/left movement in the arbitrary condition). We modeled this by defining two types of movement. One was moving the hand corresponding to the location of the NPO that was rated higher in the first, rating part of the experiment (the congruent option; see Methods). The other was moving the hand corresponding to the location of the lower-rated NPO (the incongruent option). We used the race-to-threshold framework to model the decision processed between a pair of leaky, stochastic accumulators, or DDMs (see again Fig. 8A). One DDM simulated the process that leads to selecting the congruent option, and the other simulated the process that leads to selecting the incongruent option. Hence, in each model run, the two DDMs ran in parallel; the first one to cross the threshold determined the decision outcome. And so, if the DDM corresponding to the congruent (incongruent) option reached the threshold first, the trial ended with selecting the congruent (incongruent) option. Thus, for deliberate decisions, the congruent cause had a higher value than the incongruent cause; the DDM associated with the congruent option accordingly had a higher drift rate than that of the DDM associated with the incongruent option. For arbitrary decisions, the values of the decision alternatives mattered very little and this was reflected in the small differences, if at all, among the drift rates (Table 1).

Therefore, taken together, these two changes to the original model by Schurger and colleagues resulted in a model that included four DDMs, divided into two pairs, each pair racing to a threshold (Fig. 8A); the first pair reflected the value assessment process (taking place in Region X, and determining the result of deliberate decisions). The second reflected a mechanism of threshold crossing by random fluctuations (taking place in the SMA and determining the results of arbitrary decisions). Each such pair included one DDM for the congruent option and one DDM for the incongruent option. And so, in each trial, the four...
DDMs were run, and the decision outcome was determined by the first DDM to reach the threshold in the noise component for arbitrary decisions and in the value component for deliberate decisions.

Figure 8: Model description and model runs in the SMA and in Region X. (A) A block diagram of the model, with its noise (SMA) and value (Region X) components, each instantiated as a race to threshold between a pair of DDMs (or causes—one congruent with the ratings in the first part of the experiment, the other incongruent). (B) A few runs of the model in the deliberate condition, in Region X (green colors), depicting the DDM for the congruent option. As is apparent, the DDM stops when the value-based component reaches threshold. Red arrows point from the Region X DDM trace at threshold to the corresponding time in the trace in the SMA (black and gray colors). The SMA traces integrate without a threshold (as the decision outcome is solely determined by the value component in Region X). The thick green and black lines depict average Region X and SMA activity over 10,000 model runs, locked to stimulus onset, respectively. (Note that this panel depicts stimulus-locked activity and not response-locked activity. So, we do not expect to find an RP in either brain region.

Therefore, within this framework, Cz-electrode activity (above SMA) should mainly reflect the noise-generation component—as was proposed by Schurger et al. (2012). (Note that we suggest that noise generation might be a key function of the SMA and other brain regions underneath the Cz electrode, at least during this specific task. When subjects make arbitrary decisions, these might be based on some symmetry-breaking mechanism, which is driven by random fluctuations that are here simulated as noise. Thus, we neither claim nor think that noise generation is the main purpose or function of these brain regions in general.)

The critical prediction of our model for our purposes relates to what happens during deliberate decisions in the SMA (Cz electrode). For ease of explanation, and because decision difficulty...
had no consistent effect on the EEG data, we focus the discussion below on easy decisions 
(though the same holds for hard decisions). According to our model, the race-to-threshold pair 
of DDMs that would determine deliberate decisions and trigger the ensuing action is the value-
assessment one in Region X. Hence, when the first DDM of the Region X pair would reach the
threshold, the decision would be made and movement would ensue. The SMA pair, in contrast,
would not integrate toward a decision (Fig. 8B). We modeled this by not including any
decision threshold in the SMA in deliberate decisions (i.e., the threshold was set to infinity,
letting the DDM accumulate forever). (The corresponding magnitudes of the drift-rate are
detailed in the Methods.) So, what happens in the SMA (and supposedly recorded using
electrode C3) when Region X activity reaches the threshold? SMA activity will have
accumulated to some random level (Fig. 8B). This entails that, when we align such SMA
activity to movement onset, we will find just a simple, weak linear trend in the SMA. This
trend is the one depicted in red in Fig. 9C (in red) for the deliberate easy and hard conditions
(here model activity was flipped vertically—from increasing above the x axis to decreasing
below it—as in Schurger et al., 2012). In arbitrary decisions, on the other hand, the SMA pair
determines the outcome, and motion ensues whenever one of the DDMs crosses the threshold.
Thus, when its activity is inspected with respect to movement onset, it forms the RP-like shape
of Fig. 9C (in blue), in line with the model by Schurger and colleagues (2012).

Akin to the Schurger model, we fit our DDMs to our average empirical reaction-times, which
were 2.13, 2.52, 0.98 and 1.00 s for the different conditions (henceforth, magnitudes are given
for deliberate easy, deliberate hard, arbitrary easy, and arbitrary hard, respectively, in this
order), and predicted the resulting ERP patterns. The model’s corresponding mean RTs were
2.04, 2.46, 0.94, and 0.96 s for these conditions (Fig. 9A, B). The model was simultaneously fit
to the empirical consistency ratios (the proportions of congruent decisions), which were 0.99,
0.83, 0.54 and 0.49. The model’s corresponding consistency ratios were 1.00, 0.84, 0.53 and
0.53. The model then predicted the shape of the ERP in its noise component, over the SMA
(assumed to be reflected by Cz-electrode activity) for each decision type: a continuing, RP-like
increase in activity (with a negative sign) for arbitrary decisions, but only a very slight increase
in activity for deliberate decisions (Fig. 9C, here a decrease due to the negative sign). This was
in line with our empirical results (compare Fig. 3A). Note that that the Schurger model aims to
account for neural activity leading up to the decision to move, but no further (Schurger et al.,
2012). Similarly, we expect our DDM to fit Cz neural data only up to around -0.1 s (100 ms
before response onset). We also make no claims that ours is the only, or even optimal, model
that explains our results. Rather, by extending the Schurger model, our goal was to show how
that interpretation of the RP could also be applied to our more-complex paradigm. (We refer
the reader to work by Schurger and colleagues (Schurger, 2018; Schurger et al., 2012) for
further discussions about the model, its comparison to other models, and the relation to
conscious-decision onset).

Discussion

Since the publication of Libet’s seminal work claiming that neural precursors of action, in the
form of the RP, precede subjects’ reports of having consciously decided to act (Libet et al.,
1983), a vigorous discussion has been raging among neuroscientists, philosophers, and other
scholars about the meaning of these findings for the debate on free will (recent collections
include (Mele, 2015; Pockett, Banks, & Gallagher, 2009; Sinnott-Armstrong & Nadel, 2011)).
Some claim that these results have removed conscious will from the causal chain leading to
action (Haggard, 2005, 2008; Libet, 1985; Wegner, 2002). Others are unconvinced that these
results are decisive for, or even applicable to, the free-will debate (Breitmeyer, 1985; Mele,
At the heart of much of this debate lies the RP, thought to represent unconscious decision/planning mechanisms that initiate subjects’ decisions prior to their conscious experience of deciding (Kornhuber & Deecke, 1990; Libet et al., 1983).

Notably, the RP and similar findings showing neural activations preceding the conscious decision to act have typically been based on arbitrary decisions (Haggard & Eimer, 1999; Lau et al., 2004; Libet, 1985; Libet et al., 1983; Sirigu et al., 2004; Soon et al., 2008; Soon et al., 2013). This, among other reasons, rested on the notion that for an action to be completely free, it should not be determined in any way by external factors (Libet, 1985)—which is the case for

**Figure 9: Empirical and model RTs and model prediction for Cz activity.** (A) The empirical distributions of subjects’ RTs across the four decision types. (B) The equivalent distributions of RTs for the model. (C) The model’s prediction for the ERP activity in its noise component (Fig. 8A) in the SMA (electrode Cz) across all four decision types. (D) The first 20 model runs for the value component (Region X) in deliberate decisions (top) and for the noise-generation component (SMA) in arbitrary decisions (bottom). The integration threshold, at 0.15, is designated by a dashed line in all decision conditions. Here \( t = 0 \) s designates the beginning of the model’s run.
arbitrary, but not deliberate, decisions (where each decision alternative is associated with a value, and the values of alternatives typically guide one’s decision). But this notion of freedom faces several obstacles. First, most discussions of free will focus on deliberate decisions, asking when and whether these are free (Frankfurt, 1971; Hobbes, 1994; Wolf, 1990). This might be because everyday decisions to which we associate freedom of will—like choosing a more expensive but more environmentally friendly car, helping a friend instead of studying more for a test, donating to charity, and so on—are generally deliberate, in the sense of being reasoned, purposeful, and bearing consequences (although see Deutschländer, Pauen, and Haynes (2017)). In particular, the free will debate is often considered in the context of moral responsibility (e.g., was the decision to harm another person free or not) (Fischer, 1999; Haggard, 2008; Maoz & Yaffe, 2015; Roskies, 2012; Sinnott-Armstrong, 2014; Strawson, 1994), and free will is even sometimes defined as the capacity that allows one to be morally responsible (Mele, 2006, 2009). In contrast, it seems meaningless to assign blame or praise to arbitrary decisions. Thus, though the scientific operationalization of free will has typically focused on arbitrary decisions, the common interpretations of these studies—in neuroscience and across the free will debate—have often alluded to deliberate ones.

Here, we show that inference from arbitrary to deliberate decisions may not be justified, as the neural precursors of arbitrary decisions, and in particular the RP, do not generalize to meaningful ones (Breitmeyer, 1985; Roskies, 2010). For arbitrary decisions, we replicated earlier results, with an RP recorded in the Cz electrode, having typical scalp topography and the expected waveform shape over time. However, the RP was substantially diminished—if not altogether absent—for deliberate decisions; it showed neither the expected slope nor the expected scalp topography. Null-hypothesis significance testing (NHST) suggested that the null hypothesis—i.e., that there is no RP—can be rejected for arbitrary decisions but cannot be rejected for deliberate ones. A cluster-based nonparametric permutation analysis—to locate temporal windows where EEG activity is reliably different from 0—found prolonged activity of this type about 1.2 s before movement onset for both types of arbitrary decisions, but no such activity for either type of deliberate decisions. A Bayesian analysis found clear evidence for an RP in arbitrary decisions and an inconclusive trend toward no RP in deliberate decisions. Changing the baseline to make it equally distant from arbitrary and deliberate decisions did suggest conclusive evidence for no RP in deliberate decisions (while still finding clear evidence for an RP in arbitrary decisions). Further, trend analysis showed that there is no trend during the RP time window for deliberate decisions (here Bayesian analysis suggested moderate to strong evidence against a trend) while there exists a reliable trend for arbitrary decisions (extremely strong evidence for an effect). Thus, taken together, there is overwhelming evidence for an RP in arbitrary decisions (in all six different analyses that we conducted—NHST and Bayesian). But, in contrast, we found no evidence for the existence of an RP in deliberate decisions (in all six analyses) and, at the same time, there was evidence against RP existence in such decisions (in five of the six analyses, with the single, remaining analysis providing only inconclusive evidence for an absence of an RP). Therefore, at the very least, our results support the claim that the previous findings regarding the RP might be confined to arbitrary decisions and do not generalize to deliberate ones. The results further suggest that different neural mechanisms might drive deliberate and arbitrary decisions. This clearly challenges the generalizability of previous studies relying on arbitrary decisions, regardless of whether they were based on the RP or not.

Interestingly, while the RP was present in arbitrary decisions but absent in deliberate ones, the LRP—a long-standing, more-motor ERP component, which began much later than the RP—was indistinguishable between the different decision types. This provides evidence that, at the motor level, the neural representation of the deliberate and arbitrary decisions that our subjects made may have been indistinguishable, as was our intention when designing the task.
Our finding and the model thus suggest that two different mechanisms may be involved in arbitrary and deliberate decisions. Earlier literature demonstrated that deliberate, reasoned decision-making—which was mostly studied in the field of neuroeconomics (Kable & Glimcher, 2009) or using perceptual decisions (Gold & Shadlen, 2007)—elicited activity in the prefrontal cortex (PFC; mainly the dorsolateral (DLPFC) part (Sanfey, Rilling, Aronson, Nystrom, & Cohen, 2003; Wallis & Miller, 2003) and ventromedial (VMPFC) part/orbitofrontal cortex (OFC) (Ramnani & Owen, 2004; Wallis, 2007) and the anterior cingulate cortex (ACC) (Bush, Luu, & Posner, 2000; Carter et al., 1998). Arbitrary, meaningless decisions, in contrast, were mainly probed using variants of the Libet paradigm, showing activations in the Supplementary Motor Area (SMA), alongside other frontal areas like the medial frontal cortex (Brass & Haggard, 2008; Krieghoff, Waszak, Prinz, & Brass, 2011) or the frontopolar cortex, as well as the posterior cingulate cortex (Fried et al., 2011; Soon et al., 2008) (though see Hughes, Schütz-Bosbach, and Waszak (2011), which suggests that a common mechanism may underlie both decision types). Possibly then, arbitrary and deliberate decisions may differ not only with respect to the RP, but be subserved by different underlying neural circuits, which makes generalization from one class of decisions to the other more difficult. Deliberate decisions are associated with more lateralized and central neural activity while arbitrary ones are associated with more medial and frontal ones. This appears to align with the different brain regions associated with the two decision types above, as also evidenced by the differences we found between the scalp distributions of arbitrary and deliberate decisions (Fig. 3A). Further studies are needed to explore this potential divergence in the neural regions between the two decision types.

To be clear, and following the above, we do not claim that the RP captures all unconscious processes that precede conscious awareness. However, some have suggested that the RP represents unconscious motor-preparatory activity before any kind of decision (e.g., Libet, 1985). But our results provide evidence against that claim, as we do not find an RP before deliberate decisions, which also entail motor preparation. What is more, in deliberate decisions in particular, it is likely that there are neural precursors of upcoming actions—possibly involving the above neural circuits as well as circuits that represents values—which are unrelated to the RP. Note also that we did not attempt to separately measure the timing of subjects’ conscious decision to move. Rather, we instructed them to hold their hands above the relevant keyboard keys and press their selected key as soon as they made up their mind. This was both to keep the decisions in this task more ecological and because we think that the key method of measuring decision onset (using some type of clock to measure Libet’s W-time) is highly problematic (see Methods). Some might also claim that unconscious decision-making could explain our results, suggesting that in arbitrary decisions subjects engage in unconscious deliberation or in actively inhibiting their urge to follow their preference as well as in free choice, while in deliberate decisions only deliberation is required. But this interpretation is unlikely because the longer RTs in deliberate decisions suggest, if anything, that more complex mental processes (conscious or unconscious) took place before deliberate and not arbitrary decisions. What is more, these interpretations should impede our chances of finding the RP in arbitrary trials (as the design diverges from the original Libet task), yet the RP was present, rendering them less plausible.

Aside from highlighting the neural differences between arbitrary and deliberate decisions, this study also challenges a common interpretation of the function of the RP. If the RP is not present before deliberate action, it does not seem to be a necessary link in the general causal chain leading to action. Schurger et al. (2012) suggested that the RP reflects the accumulation of stochastic fluctuations in neural activity that lead to action, following a threshold crossing, when humans arbitrarily decide to move. According to that model, the shape of the RP results from the manner in which it is computed: averaged over trials that are locked to response onset...
Our drift-diffusion model was based on the assumption that every decision can be driven by a
component based on the values of the decision alternatives (i.e., subjects’ support for the two
NPOs we presented) or by another component representing noise—random fluctuations in
neural activity. The value component plays little to no role in arbitrary decisions, so action
selection and timing depend on when the accumulation of noise crosses the decision threshold
for the congruent and incongruent decision alternatives. In deliberate decisions, in contrast, the
value component drives the decisions, while the noise component plays little to no role. Thus,
in arbitrary decisions, action onset closely tracks threshold crossings of the noise component.
But, in deliberate decisions, the noise component reaches a random level and is then stopped;
so, the value component drives the decision. Hence, locking the ERP to response onset and
averaging over trials to obtain the RP leads to slight slope for deliberate decisions but to the
expected RP shape in arbitrary decisions. This provides strong evidence that the RP does not
reflect subconscious movement preparation. Rather, it is induced by threshold crossing of
random fluctuations in arbitrary decisions, which do not drive deliberate decisions;
accordingly, the RP is not found there. Further studies of the causal role of consciousness in
deliberate versus arbitrary decisions are required to test this claim.

Nevertheless, two possible, alternative explanations of our results can be raised. First, one
could claim that—in the deliberate condition only—the NPO names act as a cue, thereby
turning what we term internal, deliberate decisions into no more than simple responses to
external stimuli. Under this account, if the preferred NPO is on the right, it is immediately
interpreted as “press right”. It would therefore follow that subjects are actually not making
decisions in deliberate trials, which in turn is reflected by the absence of the RP in those trials.
However, the reaction time and consistency results that we obtained provide evidence against
this interpretation. We found longer reaction times for hard-deliberate decisions than for easy-
deliberate ones (2.52 versus 2.13 s, on average, respectively; Fig. 2 left) and higher
consistencies with the initial ratings for easy-deliberate decisions than for hard-deliberate
decisions (0.99 versus 0.83, on average, respectively; Fig. 2 right). If the NPO names acted as
mere cues, we would have expected no differences between reaction times or consistencies for
easy- and hard-deliberate decisions. In addition, there were 50 different causes in the first part
of the experiment. So, it is highly unlikely that subjects could memorize all 1225 pairwise
preferences among these causes and simply transform any decision between a pair of causes
into a stimulus instructing to press left or right.

Another alternative interpretation of our results is that subjects engage in (unconscious)
deliberation also during arbitrary decisions (Tusche, Bode, & Haynes, 2010), as they are trying
to find a way to break the symmetry between the two possible actions. If so, the RP in the
arbitrary decisions might actually reflect the extra effort in those types of decisions, which is
not found in deliberate decisions. However, this interpretation entails a longer reaction time for
arbitrary than for deliberate decisions, because of the heavier cognitive load, which is the
opposite of what we found (Fig. 2A). Under this interpretation, we would also expect the
simpler deliberation in arbitrary-easy trials to result in a shorter reaction-time than that of
arbitrary-hard. But this is not what we find (Fig. 2A).

In conclusion, our study suggests that RPs do not precede deliberate decisions or is at least
strongly diminished before such decisions. In addition, it suggests that RPs represent an
artificial accumulation of random fluctuations rather than serving a genuine marker of an
unconscious decision to initiate voluntary movement. This further motivates future investigations into other precursors of action besides the RP using EEG, fMRI, or other techniques. It also highlights that it would be of particular interest to find the neural activity that precedes deliberate decisions. And it would also be of interest to find neural activity, which is not motor activity, that is common to both deliberate and arbitrary decisions.

Materials and Methods

Subjects

Twenty healthy subjects participated in the study. They were California Institute of Technology (Caltech) students as well as members of the Pasadena community. All subjects had reported normal or corrected-to-normal sight and no psychiatric or neurological history. They volunteered to participate in the study for payment ($20 per hour). Subjects were prescreened to include only participants who were socially involved and active in the community (based on the strength of their support of social causes, past volunteer work, past donations to social causes, and tendency to vote). The data from 18 subjects was analyzed; two subjects were excluded from our analysis (see Sample size and exclusion criteria below). The experiment was approved by Caltech’s Institutional Review Board (14-0432; Neural markers of deliberate and random decisions), and informed consent was obtained from all participants after the experimental procedures were explained to them.

Sample size and exclusion criteria

We ran a power analysis based on the findings of Haggard and Eimer (1999). Their RP in a free left/right-choice task had a mean of 5.293 µV and standard deviation of 2.267 µV. Data from a pilot study we ran before this experiment suggested that we might obtain smaller RP values in our task (they referenced to the tip of the nose and we to the average of all channels, which typically results in a smaller RP). Therefore, we conservatively estimated the magnitude of our RP as half of that of Haggard & Eimer, 2.647 µV, while keeping the standard deviation the same at 2.267 µV. Our power analysis therefore suggested that we would need at least 16 subjects to reliably find a difference between an RP and a null RP (0 µV) at a p-value of 0.05 and power of 0.99. This number agreed with our pilot study, where we found that a sample size of at least 16 subjects resulted in a clear, averaged RP. Following the above reasoning, we decided beforehand to collect 20 subjects for this study, taking into account that some could be excluded as they would not meet the following predefined inclusion criteria: at least 30 trials per experimental condition remaining after artifact rejection; and averaged RTs (across conditions) that deviated by less than 3 standard deviations from the group mean.

Subjects were informed about the overall number of subjects that would participate in the experiment when the NPO lottery was explained to them (see below). So, we had to finalize the overall number of subjects who would participate in the study—but not necessarily the overall number of subjects whose data would be part of the analysis—before the experiment began. After completing data collection, we ran only the EEG preprocessing and behavioral-data analysis to test each subject against the exclusion criteria. This was done before we looked at the data with respect to our hypothesis or research question. Two subjects did not meet the inclusion criteria: the data of one subject (#18) suffered from poor signal quality, resulting in less than 30 trials remaining after artifact rejection; another subject (#12) had RTs longer than 3 standard deviations from the mean. All analyses were thus run on the 18 remaining subjects.

Stimuli and apparatus
Subjects sat in a dimly lit room. The stimuli were presented on a 21” Viewsonic G225f (20” viewable) CRT monitor with a 60-Hz refresh rate and a 1024×768 resolution using Psychtoolbox version 3 and Mathworks Matlab 2014b (Brainard, 1997; Pelli, 1997). They appeared with a gray background (RGB values: [128, 128,128]). The screen was located 60 cm away from subjects' eyes. Stimuli included names of 50 real, non-profit organizations (NPOs). Twenty organizations were consensual (e.g., the Cancer Research Institute, or the Hunger project), and thirty were more controversial: we chose 15 causes that were widely debated (e.g., pro/anti guns, pro/anti abortions), and selected one NPO that supported each of the two sides of the debate. This was done to achieve variability in subjects’ willingness to donate to the different NPOs. In the main part of the experiment, succinct descriptions of the causes (e.g., pro-marijuana legalization, pro-child protection; for a full list of NPOs and causes see Supplementary Table 1) were presented in black Comic Sans MS.

**Study Design**

The objective of this study was to compare ERPs elicited by arbitrary and deliberate decision-making, and in particular the RP. We further manipulated decision difficulty to validate our manipulation of decisions type: we introduced hard and easy decisions which corresponded to small and large differences between subjects’ preferences for the pairs of presented NPOs, respectively. We reasoned that if the manipulation of decision type (arbitrary vs. deliberate) was effective, there would be behavioral differences between easy and hard decisions for deliberate choices but not for arbitrary choices (because differences in preferences should not influence subjects’ arbitrary decisions). Our 2 x 2 design was therefore decision type (arbitrary vs. deliberate) by decision difficulty (easy vs. hard). Each condition included 90 trials, separated into 10 blocks of 9 trials each, resulting in a total of 360 trials and 40 blocks. Blocks of different decision types were randomly intermixed. Decision difficulty was randomly counterbalanced across trials within each block.

**Experimental Procedure**

In the first part of the experiment, subjects were presented with each of the 50 NPOs and the causes with which the NPOs were associated separately (see Supplementary Table 1). They were instructed to rate how much they would like to support that NPO with a $1000 donation on a scale of 1 (“I would not like to support this NPO at all) to 7 (“I would very much like to support this NPO”). No time pressure was put on the subjects, and they were given access to the website of each NPO to give them the opportunity to learn more about the NPO and the cause it supports.

After the subjects finished rating all NPOs, the main experiment began. In each block of the experiment, subjects made either deliberate or arbitrary decisions. Two succinct cause descriptions, representing two actual NPOs, were presented in each trial (Fig. 1). In deliberate blocks, subjects were instructed to choose the NPO to which they would like to donate $1000 by pressing the <Q> or <P> key on the keyboard, using their left and right index finger, for the NPO on the left or right, respectively, as soon as they decided. Subjects were informed that at the end of each block one of the NPOs they chose would be randomly selected to advance to a lottery. Then, at the end of the experiment, the lottery will take place and the winning NPO will receive a $20 donation. In addition, that NPO will advance to the final, inter-subject lottery, where one subject’s NPO will be picked randomly for a $1000 donation. It was stressed that the donations were real and that no deception was used in the experiment. To persuade the subjects that the donations were real, we presented a signed commitment to donate the money, and promised to send them the donation receipts after the experiment. Thus,
subjects knew that in deliberate trials, every choice they made was not hypothetical, and could potentially lead to an actual $1020 donation to their chosen NPO.

Arbitrary trials were identical to deliberate trials except for the following crucial differences. Subjects were told that, at the end of each block, the pair of NPOs in one randomly selected trial would advance to the lottery together. And, if that pair wins the lottery, both NPOs would receive $10 (each). Further, the NPO pair that would win the inter-subject lottery would receive a $500 donation each. Hence it was stressed to the subjects that there was no reason for them to prefer one NPO over the other in arbitrary blocks, as both NPOs would receive the same donation regardless of their button press. Subjects were told to therefore simply press either <Q> or <P> as soon as they decided to do so.

Thus, while subjects’ decisions in the deliberate blocks were meaningful and consequential, their decisions in the arbitrary blocks had no impact on the final donations that were made. In these trials, subjects were further urged not to let their preferred NPO dictate their response. Importantly, despite the difference in decision type between deliberate and arbitrary blocks, the instructions for carrying out the decisions were identical: Subjects were instructed to report their decisions as soon as they made them in both conditions. They were further asked to place their right and left index fingers on the response keys, so they could respond as quickly as possible. Note that we did not ask subjects to report their “W-time” (time of consciously reaching a decision), because this measure was shown to rely on neural processes occurring after response onset (Lau, Rogers, & Passingham, 2007) and to potentially be backward inferred from movement time (Banks & Isham, 2009). Even more importantly, clock monitoring was demonstrated to have an effect on RP size (Miller et al., 2011), so it could potentially confound our results (Maoz et al., 2015).

Decision difficulty (Easy/Hard) was manipulated throughout the experiment, randomly intermixed within each block. Decision difficulty was determined based on the rating difference between the two presented NPOs. NPO pairs with 1 or at least 4 rating-point difference were designated hard or easy, respectively. Based on each subject’s ratings, we created a list of NPO pairs, half of each were easy choices and the other half hard choices.

Each block started with an instruction written either in dark orange (Deliberate: “In this block choose the cause to which you want to donate $1000”) or in blue (Arbitrary: “In this block both causes may each get a $500 donation regardless of the choice”) on a gray background that was used throughout the experiment. Short-hand instructions appeared at the top of the screen throughout the block in the same colors as that block’s initial instructions; Deliberate: “Choose for $1000” or Arbitrary: “Press for $500 each” (Fig. 1).

Each trial started with the gray screen that was blank except for a centered, black fixation cross. The fixation screen was on for a duration drawn from a uniform distribution between 1 and 1.5 s. Then, the two cause-descriptions appeared on the left and right side of the fixation cross (left/right assignments were randomly counterbalanced) and remained on the screen until the subjects reported their decisions with a key press—<Q> or <P> on the keyboard for the cause on the left or right, respectively. The cause corresponding to the pressed button then turned white for 1 s, and a new trial started immediately. If subjects did not respond within 20 s, they received an error message and were informed that, if this trial would be selected for the lottery, no NPO would receive a donation. However, this did not happen for any subject on any trial.

To assess the consistency of subjects’ decisions during the main experiment with their ratings in the first part of the experiment, subjects’ choices were coded in the following way: each
883 binary choice in the main experiment was given a consistency grade of 1, if subjects chose the
884 NPO that was rated higher in the rating session, and 0 if not. Then an averaged consistency
885 grade for each subject was calculated as the mean consistency grade over all the choices. Thus,
886 a consistency grade of 1 indicates perfect consistency with one’s ratings across all trials, 0 is
887 perfect inconsistency, and 0.5 is chance performance.

888 We wanted to make sure subjects were carefully reading and remembering the causes also
889 during the arbitrary trials to better equate memory load, attention, and other cognitive aspects
890 between deliberate and arbitrary decisions—except those aspects directly associated with the
891 decision type, which was the focus of our investigation. We therefore randomly interspersed 36
892 memory catch-trials throughout the experiment (thus more than one catch trial could occur per
893 block). On such trials, four succinct descriptions of causes were presented, and subjects had to
894 select the one that appeared in the previous trial. A correct or incorrect response added or
895 subtracted 50 cents from their total, respectively. (Subjects were informed that if they reached
896 a negative balance, no money will be deducted off their payment for participation in the
897 experiment.) Thus, subjects could earn $18 more for the experiment, if they answered all
898 memory test questions correctly. Subjects typically did well on these memory questions, on
899 average erring in 2.5 out of 36 memory catch trials (7% error) and gaining additional $16.75
899 (SD=3.19). Subjects’ error rates in the memory task did not differ significantly between the
900 experimental conditions (2-way ANOVA; decision type: F(1,17)=2.51, p=0.13; decision
difficulty: F(1,17)=2.62, p=0.12; interaction: F(1,17)=0.84, p=0.37).

903 ERP recording methods

904 The EEG was recorded using an Active 2 system (BioSemi, the Netherlands) from 64
905 electrodes distributed based on the extended 10–20 system and connected to a cap, and seven
906 external electrodes. Four of the external electrodes recorded the EOG: two located at the outer
907 canthi of the right and left eyes and two above and below the center of the right eye. Two
908 external electrodes were located on the mastoids, and one electrode was placed on the tip of the
909 nose. All electrodes were referenced during recording to a common-mode signal (CMS)
electrode between POz and PO3. The EEG was continuously sampled at 512 Hz and stored for
911 offline analysis.

912 ERP analysis

913 ERP analysis was conducted using the “Brain Vision Analyzer” software (Brain Products,
914 Germany) and in-house Mathworks Matlab scripts. Data from all channels were referenced
915 offline to the average of all channels, which is known to result in a reduced-amplitude RP
916 (because the RP is such a spatially diffuse signal). The data were then digitally high-pass
917 filtered at 0.1 Hz using a Finite Impulse Response (FIR) filter to remove slow drifts. A notch
918 filter at 59-61 Hz was applied to the data to remove 60-Hz electrical noise. The signal was then
919 cleaned of blink and saccade artifacts using Independent Component Analysis (ICA)
920 (Junghofer, Elbert, Tucker, & Rockstroh, 2000). Signal artifacts were detected as amplitudes
921 exceeding ±100 µV, differences beyond 100 µV within a 200 ms interval, or activity below
922 0.5 µV for over 100 ms (the last condition was never found). Sections of EEG data that
923 included such artifacts in any channel were removed (150 ms before and after the artifact). We
924 further excluded single trials in which subjects pressed the wrong button as well as trials where
925 subjects’ RTs were less than 200 ms, more than 10s, or more than 3 standard deviations away
926 from that subject’s mean in that condition (mean number of excluded trials =7.17, SD=2.46,
927 which are 1.99% of the trials). Overall, the average number of included trials in each
928 experimental cell was 70.38 trials with a range of 36-86 out of 90 trials per condition. Channels
929 that consistently had artifacts were replaced using interpolation (4.2 channels per subject, on
average). No significant differences were found in the number of excluded trials across
conditions (2-way ANOVA; decision type: F(1,17)=3.31, p=0.09; decision difficulty:
F(1,17)=1.83, p=0.19; interaction: F(1,17)=0.42, p=0.53).

The EEG was segmented by locking the waveforms to subjects’ movement onset, starting 2s
prior to the movement and ending 0.2s afterwards, with the segments averaged separately for
each decision type (Deliberate/Arbitrary x Easy/Hard) and decision content (right/left). The
baseline period was defined as the time window between -1000 ms and -500 ms prior to
stimulus onset, that is, the onset of the causes screen, rather than prior to movement onset. In
addition to the main baseline, we tested another baseline—from -1000 ms to -500 ms relative
to movement onset—to investigate whether the baseline period influenced our main results (see
Results). Furthermore, we segmented the EEG based on stimulus onset, using the same
baseline, for stimulus-locked analysis (again, see Results).

To assess potential effects of eye movements during the experiment, we defined the radial eye
signal as the average over all 4 EOG channels, when band-pass filtered to between 30 and 100
Hz. We then defined a saccade as any signal that was more than 2.5 standardized IQRs away
from the median of the radial signal for more than 2 ms. Two consecutive saccades had to be at
least 50 ms apart. The saccade count (SC) was the number of saccades during the last 500 ms
before response onset (Keren, Yuval-Greenberg, & Deouell, 2010) (see also (Croft & Barry,
2000; Elbert, Lutzenberger, Rockstroh, & Birbaumer, 1985; Shan, Moster, & Roemer, 1995)).

**Statistical Analysis**

EEG differences greater than expected by chance were assessed using two-way ANOVAs with
decision type (deliberate, arbitrary) and decision difficulty (easy, hard), using IBM SPSS
statistics, version 24. For both RP and LRP signals, the mean amplitude from 500 ms before to
button-press onset were used for the ANOVAs. Greenhouse–Geisser correction was never
required as sphericity was never violated (Picton et al., 2000).

Trend analysis on all subjects’ data was carried out by regressing the voltage for every subject
against time for the last 1000 ms before response onset using first-order polynomial linear
regression (see Results). We used every 10th time sample for the regression (i.e., the 1st, 11th,
21st, 31st samples, and so on) to conform with the individual-subject analysis (see below). For
the individual-subject analysis, the voltage on all trials was regressed against time in the same
manner (i.e., for the last 1000 ms before response onset and using first-order polynomial linear
regression). As individual-trial data is much noisier than the mean over all trials in each
subject, we opted for standard robust-regression using iteratively reweighted least squares
(implemented using the robustfit() function in Mathworks Matlab). The iterative robust-
regression procedure is time consuming. So, we used every 10th time sample instead of every
sample to make the procedure’s run time manageable. Also, as EEG signals have a 1/f power
spectrum, taking every 10th sample further better conforms with the assumption of i.i.d. noise
in linear regression.

We further conducted Bayesian analyses of our main results. This allowed us to assess the
strength of the evidence for or against the existence of an effect, and specifically test whether
null results stem from genuine absence of an effect or from insufficient or underpowered data.
Specifically, the Bayes factor allowed us to compare the probability of observing the data
given H0 (i.e., no RP in deliberate decisions) against the probability of observing the data given
H1 (i.e., RP exists in deliberate decisions). We followed the convention that a BF < 0.33
implies substantial evidence for lack of an effect (that is, the data is at least three times more
likely to be observed given H0 than given H1), 0.33 < BF < 3 suggests insensitivity of the data,
and BF > 3 denotes substantial evidence for the presence of an effect (H1) (Jeffreys, 1998).

Bayesian analysis was carried out using JASP (ver. 0.8; default settings).

In addition to the above, we used the cluster-based nonparametric method developed by Maris and Oostenveld to find continuous temporal windows where EEG activity was reliably different from 0 (Maris & Oostenveld, 2007). We used an in-house implementation of the method in Mathworks Matlab with a threshold of 2 on the t statistic and with a significance level of p = 0.05.

Model and Simulations

All simulations were performed using Mathworks Matlab 2018b. The model was devised off the one proposed by Schurger et al. (2012). Like them, we built a drift-diffusion model (Ratcliff, 1978; Usher & McClelland, 2001), which included a leaky stochastic accumulator (with a threshold on its output) and a time-locking/epoching procedure. The original model amounted to iterative numerical integration of the differential equation

$$\delta x_i = (I - kx_i)\Delta t + c\xi_i\sqrt{\Delta t}$$

where $I$ is the drift rate, $k$ is the leak (exponential decay in $x$), $\xi$ is Gaussian noise, and $c$ is a noise-scaling factor (we used $c = 0.05$). $\Delta t$ is the discrete time step used in the simulation (we used $\Delta t = 0.001$, similar to our EEG sampling rate). The model integrates $x_i$ until it crosses a threshold, which represents a decision having been made.

In such drift-diffusion models, for a given $k$ and $c$, the values of $I$ and the threshold together determine how quickly a decision will be reached, on average. If we further fix the threshold, a higher drift rate, $I$, represents a faster decision, on average. The drift rate alone can thus be viewed as a constant “urgency to respond” (using the original Schurger term) that is inherent in the demand characteristics of the task, evidenced by the fact that no subject took more than 20 s to make a decision on any trial. The leak term, $k$, ensures that the model would not be too linear; i.e., it prevented the drift rate from setting up a linear trajectory for the accumulator toward the threshold. Also, $k$ has a negative sign and is multiplied by $x_i$. So, $kx_i$ acts against the drift induced by $I$ and gets stronger as $x_i$ grows. Hence, due to the leak term, doubling the height of the threshold could make the accumulator rarely reach the threshold instead of reaching it in roughly twice the amount of time (up to the noise term).

When comparing the model’s activity on the SMA and on Region X, we needed to know how to set the drift rate for the DDM in the Region X for deliberate decisions. We made the assumption that the ratio between the drift rate in Region X and in the SMA during deliberate decisions would be the same as the ratio between the average actual activity in the SMA and in the rest of the brain during arbitrary decisions. Our EEG data suggested that this ratio (calculated as activity in Cz divided by the mean activity in the rest of the electrodes) is 1.45.

Hence, we set the drift rate in Region X to be 1.45 times smaller than that of the SMA (see Table 1 for the drift values in the SMA).

Our model differed from Schurger’s in two main ways. First, it accounted for both arbitrary and deliberate decisions and was thus built to fit our empirical results. We devised a model that was composed of two distinct components (Fig. 8A), each described by a race to threshold between 2 DDMs based on Eq. (1) (see below), but with different parameter values for each DDM (Table 1). The first component accumulated activity that drove arbitrary decisions (i.e.,
random fluctuations (Schurger et al., 2012)). Such model activation reflects the neural activity that might be recorded over the Cz electrode. We term this component of the model the Noise component. The second component of the model reflects brain activity that drives deliberate decisions, based on the values that subjects associated with the decision alternatives. We term this second component the Value component. Our model relied on its noise component to reflect arbitrary decisions and on its value component to reflect deliberate decisions.

Table 1: Values of the model’s drift-rate parameter across decision types in the SMA. Values of the drift-rate parameter, $I$, in our model across (deliberate, arbitrary) x (easy, hard) decisions x (congruent, incongruent) decision alternatives. Values in Region X are 1.45 times smaller than in this table for each entry.

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<th>Incongruent</th>
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A second difference between our model and Schurger and colleagues’ is that theirs modeled only the decision when to move (during arbitrary decisions). As those were the only decisions that their subjects faced. But our subjects decided both when and which hand to move. So, we had to extend the Schurger model in that respect as well. We did this using a race-to-threshold mechanism between the two decision alternatives. In our empirical paradigm, the difference in rating of the two causes was either 1 (for hard decisions) or 4-6 (for easy decisions; see “Experimental Procedure” in Methods), so there was always an alternative that was ranked higher than the other. Choosing the higher- or lower-ranked alternative was termed a congruent or incongruent choice with respect to the initial ratings, respectively. Hence, we modeled each decision the subjects made as a race to threshold between the congruent and incongruent alternatives in the noise component (for arbitrary decisions) or value component (for deliberate ones).

Using a parameter sweep, we found the values of the thresholds, drift rate, and leak that best fit our average empirical reaction times for (easy, hard) x (deliberate, arbitrary) decisions as well as our empirical consistency ratios for those 4 decision types. The model’s reaction time was defined as the overall time that it took until the first threshold crossing in the race-to-threshold pair (again, each step took $\Delta t = 0.001$ s). We used the same threshold value of 0.15 and leak value of $k=0.5$ for all model types. The only parameter that was modulated across (deliberate, arbitrary) x (easy, hard) decisions x (congruent, incongruent) decision alternatives was the drift rate, $I$ (Table 1). All of these parameters were then fixed when we used the model to derive the simulated Cz activity across all conditions.

Each simulation consisted of either 120 runs of the model, equal to the number of empirical trials per condition, or 10000 runs of the model for a smoother reaction-time distribution for the model (see Results). For each run of the model, we identified the first threshold crossing point and extracted the last second (1000 steps) before the crossing in each run. If the first crossing was earlier than sample no. 1,000 by $n > 0$ samples, we padded the beginning of the epoch with $n$ null values (NaN or “not-a-number” in Matlab). These values did not contribute to the average across simulated trials, so the simulated average RP became noisier at earlier time points in the epoch. Hence, our model was similarly limited to the Schurger model in its inability to account for activity earlier than the beginning of the trial (see Results).
References


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Data and materials availability: All data needed to evaluate the conclusions in the paper are present in the paper. Additional data related to this paper may be requested from the authors.
Neural precursors of decisions that matter—an ERP study of deliberate and arbitrary choice

Maoz U, Yaffe G, Koch C, and Mudrik L

Supplementary Table 1: NPO names and causes acronyms

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